## On Generalized Degree Fairness in Graph Neural Networks

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Code: https://github.com/ntkien1904/DegFairGNN

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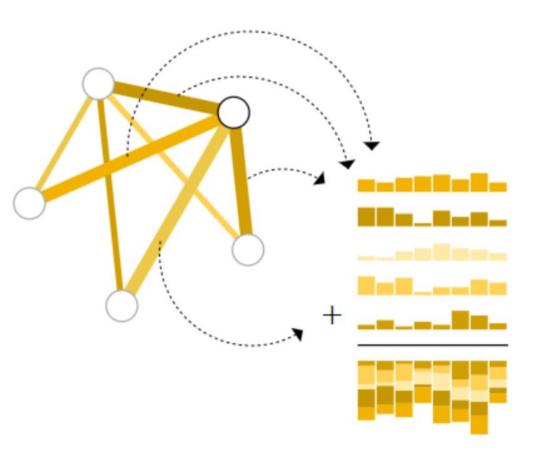








## Introduction



Conventional graph neural networks (GNNs) confronted with fairness issues that may stem from their input, including node attributes and neighbors surrounding a node.

Existing studies have shown that the structure of graph neural networks can further strengthen the biases hidden in data, thus leading to discriminatory decisions regarding age, gender, race, etc

GNN, aggregate information from adjacent nodes

## Introduction

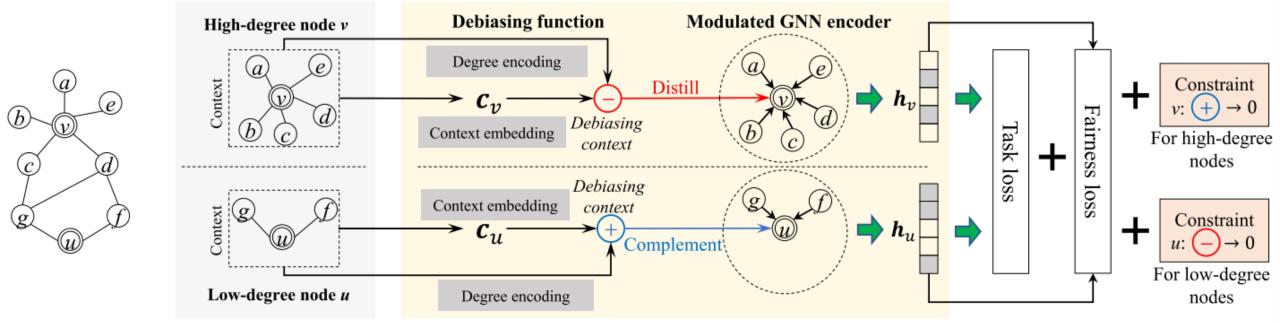
#### QUESTION:

- 1. drastic differences in node degrees could lead to differential node behaviors and biased outcomes.
- 2. a node of larger degree is more likely to possess crucial advantages and thus receives more favorable outcomes than warranted.

#### WORK:

- 1. Defining and addressing generalized degree fairness in GNNs.
- 2. DegFairGNN that can flexibly work with neighborhood aggregation-based GNNs, to eliminate the generalized degree bias rooted in the layer-wise neighborhood aggregation.

## **Overview**



- (a) Toy graph
- (b) Structural contrast

(c) Debiasing neighborhood aggregation

(d) Overall objective

## Method

### Generalized degree

$$\deg_r(v) = [\mathbf{A}^r \mathbf{1}]_v, \tag{1}$$

#### Generalized degree fairness

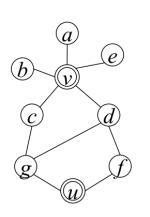
$$\mathcal{G}_i = \{ v \in \mathcal{V} \mid d_i \le \deg_r(v) < d_{i+1} \}, \tag{2}$$

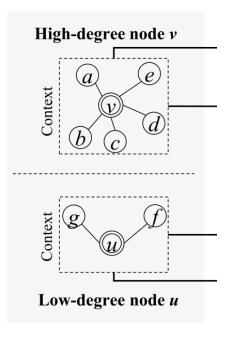
### **Degree Statistical Parity (DSP)**

$$P(\hat{y}_v = y | v \in \mathcal{G}_i) = P(\hat{y}_v = y | v \in \mathcal{G}_i), \tag{3}$$

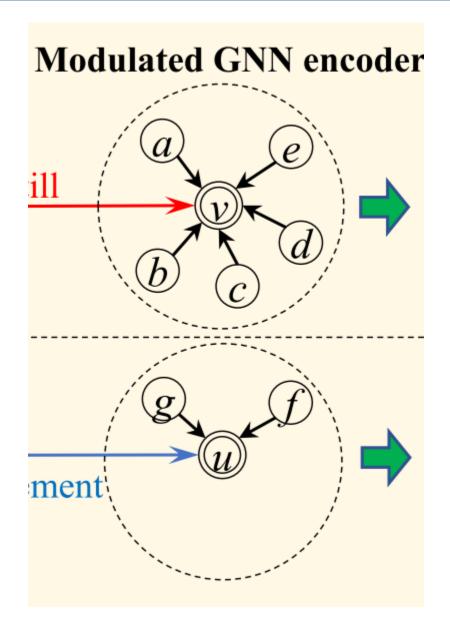
### Degree Equal Opportunity (DEO)

$$P(\hat{y}_v = y | y_v = y, v \in \mathcal{G}_i) = P(\hat{y}_v = y | y_v = y, v \in \mathcal{G}_j).$$





- (a) Toy graph
- (b) Structural contrast

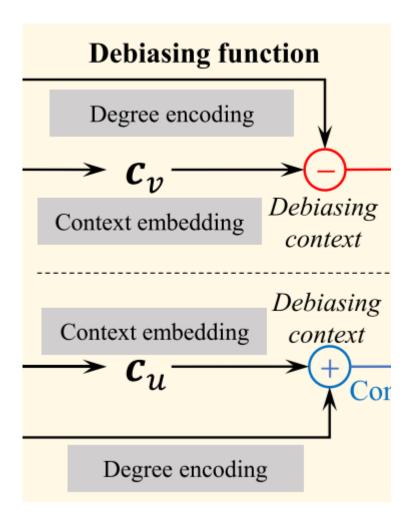


## Method

$$\mathbf{h}_{v}^{l} = \sigma\left(\operatorname{AGGR}\left(\{\mathbf{h}_{u}^{l-1} \mid u \in \mathcal{N}_{v}\}; \omega^{l}\right)\right), \tag{4}$$

$$\mathbf{h}_{v}^{l} = \sigma \left( \operatorname{AGGR}(\{\mathbf{h}_{u}^{l-1} \mid u \in \mathcal{N}_{v}\}; \omega^{l}) + \epsilon \cdot \left( \underbrace{I(v \in \mathcal{S}_{0})\mathcal{D}(v; \theta_{0}^{l})}_{\text{complement low-deg. group}} + \underbrace{I(v \in \mathcal{S}_{1})\mathcal{D}(v; \theta_{1}^{l})}_{\text{distill high-deg. group}} \right) \right), \quad (9)$$

# Method



## Comprehensiveness

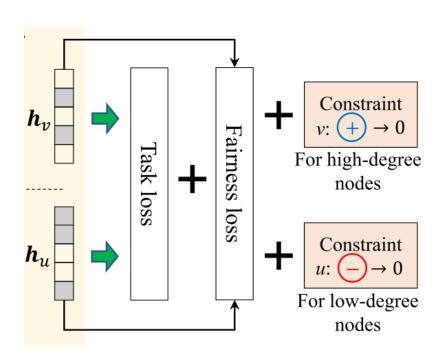
$$\mathbf{c}_v^l = \text{POOL}(\{\mathbf{h}_u^{l-1} \mid u \in \mathcal{C}_r(v)\}), \tag{5}$$

$$\mathcal{D}(v; \theta_*^l) = f(\mathbf{c}_v^l; \theta_{c,*}^l), \tag{6}$$

### Adaptiveness

$$\mathcal{D}(v; \theta_*^l) = (\gamma_v^l + \mathbf{1}) \odot f(\mathbf{c}_v^l; \theta_{c,*}^l) + \beta_v^l, \tag{7}$$

$$\gamma_v^l = \phi_\gamma(\delta^l(v); \theta_\gamma^l), \quad \beta_v^l = \phi_\beta(\delta^l(v); \theta_\beta^l), \tag{8}$$



(d) Overall objective

#### **Classification loss**

$$\mathcal{L}_1 = -\sum_{v \in \mathcal{V}^{\mathrm{tr}}} \sum_{y=1}^{|\mathcal{Y}|} [\mathbf{y}_v]_y \ln[\mathbf{h}_v^{\ell}]_y,$$

# Method

#### **Fairness loss**

$$\mathcal{L}_2 = \left\| \frac{1}{|\mathcal{S}_0^{\text{tr}}|} \sum_{v \in \mathcal{S}_0^{\text{tr}}} \mathbf{h}_v^{\ell} - \frac{1}{|\mathcal{S}_1^{\text{tr}}|} \sum_{v \in \mathcal{S}_1^{\text{tr}}} \mathbf{h}_v^{\ell} \right\|_2^2, \tag{11}$$

### Constraints on debiasing contexts

$$\mathcal{L}_{3} = \sum_{l=1}^{\ell} \left( \sum_{v \in \mathcal{S}_{0}^{\text{tr}}} \| \mathcal{D}(v; \theta_{1}^{l}) \|_{2}^{2} + \sum_{v \in \mathcal{S}_{1}^{\text{tr}}} \| \mathcal{D}(v; \theta_{0}^{l}) \|_{2}^{2} \right). \tag{12}$$

### Constraints on scaling and shifting

$$\mathcal{L}_4 = \sum_{l=1}^{\ell} \sum_{v \in \mathcal{V}^{\text{tr}}} (\|\gamma_v^l\|_2^2 + \|\beta_v^l\|_2^2). \tag{13}$$

#### **Overall loss**

(10) 
$$\mathcal{L} = \mathcal{L}_1 + \mu \mathcal{L}_2 + \lambda (\mathcal{L}_3 + \mathcal{L}_4), \tag{14}$$

# **Experiments**

Table 2: Comparison with baselines (r = 1, 20% Top/Bottom).

Henceforth, tabular results are in percent with standard deviation over 5 runs; the best fairness result is **bolded** and the runner-up is <u>underlined</u>.

	∥ GCN	DSGCN	Residual2Vec	Tail-GNN   FairWalk	k CFC	FairGNN	FairAdj	FairVGNN	DegFairGCN
				$66.08 \pm 0.19$ $  56.36 \pm 0.7$ $8.51 \pm 1.72$ $  8.18 \pm 0.9$ $26.09 \pm 3.25$ $  22.89 \pm 2.7$					
Squirrel $\begin{vmatrix} Acc. \uparrow \\ \Delta_{DSP} \downarrow \\ \Delta_{DEO} \downarrow \end{vmatrix}$	$\begin{array}{c c}   & 47.85 \pm 1.33 \\   & 13.37 \pm 2.83 \\   & 27.00 \pm 3.79 \end{array}$	$\begin{vmatrix} 40.71 \pm 2.17 \\ 16.08 \pm 0.86 \\ 22.61 \pm 3.74 \end{vmatrix}$	$28.47 \pm 0.01$ $25.11 \pm 0.48$ $34.49 \pm 0.72$	$42.62 \pm 0.06$ $37.68 \pm 0.06$ $18.91 \pm 0.26$ $7.94 \pm 0.06$ $33.60 \pm 0.72$ $17.12 \pm 1.06$	$.65  ext{ } 45.64 \pm 2.19$ $.36  ext{ } 12.40 \pm 0.48$ $.50  ext{ } 21.60 \pm 2.69$	$57.29 \pm 0.77$ $12.96 \pm 1.03$ $17.62 \pm 2.40$	$35.18 \pm 1.22$ $36.63 \pm 1.56$ $27.54 \pm 1.73$	$\begin{array}{c} 46.97 \pm 0.48 \\ 26.67 \pm 0.52 \\ 35.80 \pm 1.76 \end{array}$	$ \begin{vmatrix} 59.21 \pm 0.97 \\ 9.54 \pm 1.02 \\ \textbf{16.42} \pm 1.38 \end{vmatrix} $
$\begin{array}{c c} \text{EMNLP} & \text{Acc.} \uparrow \\ \Delta_{\text{DSP}} \downarrow \\ \Delta_{\text{DEO}} \end{array}$	$\begin{array}{c c}   78.92 \pm 0.43 \\ 44.55 \pm 1.90 \\ \hline 34.05 \pm 3.56 \end{array}$		$ 80.69 \pm 0.01  \underline{12.90} \pm 0.15  \underline{11.26} \pm 0.67 $	$83.72 \pm 0.28 \mid 82.23 \pm 0.41.18 \pm 1.58 \mid 33.52 \pm 1.441.18 \mid 30.67 \pm 1.44114 \mid 30.67 \mid$	$     \begin{array}{r}       18 & 80.15 \pm 1.13 \\       46 & 56.60 \pm 1.95 \\       42 & 45.21 \pm 2.27     \end{array} $	$86.81 \pm 0.22$ $58.23 \pm 1.44$ $51.56 \pm 1.38$	$76.50 \pm 1.55$ $40.38 \pm 4.64$ $41.89 \pm 4.78$	$84.03 \pm 0.34$ $43.92 \pm 1.43$ $40.95 \pm 1.71$	

# **Experiments**

Table 3: Comparison to baselines (r=2,20% Top/Bottom).

	∥ GCN	FairWalk	FairGNN	DegFairGCN
Chamel. $\begin{vmatrix} Acc. 1 \\ \Delta_{DSP} \\ \Delta_{DEO} \end{vmatrix}$	$ \begin{array}{c c}                                    $	$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	$70.70 \pm 0.52$ $6.70 \pm 0.32$ $23.66 \pm 0.93$	
Squirrel $\begin{vmatrix} Acc. 1 \\ \Delta_{DSP} \\ \Delta_{DEO} \end{vmatrix}$	$\begin{array}{c c}   & 47.85 \pm 1.33 \\ \downarrow &   14.61 \pm 2.63 \\ \downarrow &   28.62 \pm 3.89 \end{array}$	$\begin{array}{c c} 3 & 37.68 \pm 0.65 \\ 3 & 9.64 \pm 0.50 \\ 9 & 17.37 \pm 1.10 \end{array}$	$57.29 \pm 0.77$ $11.11 \pm 0.93$ $\underline{16.29} \pm 2.07$	$egin{array}{ c c c c } 59.21 \pm 0.97 \\ \textbf{8.26} \pm 0.57 \\ \textbf{14.95} \pm 1.22 \\ \hline \end{array}$
$egin{array}{c c} EMNLP & Acc. \\ \Delta_{DSP} & \Delta_{DEO} \end{array}$	$ \begin{array}{c c}                                    $	$\begin{array}{c c} 3 & 82.23 \pm 0.18 \\ 7 & 34.80 \pm 1.26 \\ 1 & 31.11 \pm 1.34 \end{array}$	$86.81 \pm 0.22$ $52.88 \pm 1.39$ $45.78 \pm 1.36$	$79.92 \pm 0.77$ $10.87 \pm 4.00$ $8.72 \pm 2.17$

Table 4: Comparison to baselines (r = 1, 30% Top/Bottom).

		GCN	FairWalk	FairGNN	DegFairGCN
Chamel.	$egin{array}{c} \operatorname{Acc.} \uparrow \   \ \Delta_{\mathrm{DSP}} \downarrow \   \ \Delta_{\mathrm{DEO}} \downarrow \   \end{array}$	$ \begin{vmatrix} 62.45 \pm 0.2 \\ 5.95 \pm 1.02 \\ 18.00 \pm 1.76 \end{vmatrix} $	$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	$70.70 \pm 0.52$ $6.92 \pm 0.29$ $14.52 \pm 1.09$	$egin{array}{ c c c c c c c c c c c c c c c c c c c$
Squirrel	$\begin{vmatrix} Acc. \uparrow \\ \Delta_{DSP} \downarrow \\ \Delta_{DEO} \downarrow \end{vmatrix}$	$ \begin{vmatrix} 47.85 \pm 1.33 \\ 10.34 \pm 2.13 \\ 22.62 \pm 3.10 \end{vmatrix} $	$\begin{array}{c c} 3 & 37.68 \pm 0.65 \\ \hline 6.17 \pm 0.36 \\ 0 & 14.97 \pm 1.12 \end{array}$	$57.29 \pm 0.77$ $9.27 \pm 0.68$ $17.42 \pm 1.11$	$ \begin{vmatrix} 59.21 \pm 0.97 \\ 7.39 \pm 0.63 \\ 17.71 \pm 1.05 \end{vmatrix} $
EMNLP	$\begin{vmatrix} \text{Acc.} \uparrow \\ \Delta_{\text{DSP}} \downarrow \\ \Delta_{\text{DEO}} \downarrow \end{vmatrix}$	$\begin{array}{ c c c c c c }\hline 78.92 \pm 0.43 \\ 42.87 \pm 1.46 \\ 37.89 \pm 3.23 \\ \hline \end{array}$	$\begin{array}{c c} 3 & 82.23 \pm 0.18 \\ 0 & 34.19 \pm 0.91 \\ 7 & 34.49 \pm 0.91 \end{array}$	$\begin{array}{c} 86.81 \pm 0.22 \\ 48.25 \pm 1.97 \\ 48.83 \pm 1.97 \end{array}$	$79.92 \pm 0.77$ $14.46 \pm 3.35$ $10.92 \pm 2.87$

# **Experiments**

Table 5: With other base GNNs (r = 1, 20% Top/Bottom).

		GAT	DegFairGAT	GraphSAGE	DegFairSAGE
Chamel.	2.52	$63.15 \pm 0.40$ $9.35 \pm 1.61$ $29.59 \pm 1.43$	$69.64 \pm 0.44$ <b>7.88</b> $\pm 1.30$ <b>26.12</b> $\pm 2.06$	$ \begin{vmatrix} 53.15 \pm 0.56 \\ 10.86 \pm 0.74 \\ 29.42 \pm 1.57 \end{vmatrix} $	$60.95 \pm 0.84$ <b>8.22</b> $\pm 1.22$ <b>26.40</b> $\pm 2.32$
Squirrel	,	$41.44 \pm 0.21$ $12.60 \pm 0.77$ $24.89 \pm 0.69$	$45.55 \pm 1.44$ $12.03 \pm 0.63$ $20.64 \pm 3.06$	$ \begin{vmatrix} 34.39 \pm 0.62 \\ 5.39 \pm 0.66 \\ 17.13 \pm 2.86 \end{vmatrix} $	$34.63 \pm 1.31$ $3.76 \pm 0.23$ $14.91 \pm 1.35$
EMNLP	201 1	$70.42 \pm 0.77$ $24.40 \pm 3.06$ $\textbf{8.36} \pm 1.29$	$81.57 \pm 1.14$ $14.11 \pm 6.28$ $12.28 \pm 6.19$	$ \begin{vmatrix} 83.96 \pm 0.31 \\ 56.33 \pm 1.12 \\ 51.71 \pm 0.88 \end{vmatrix} $	$83.57 \pm 0.44$ <b>28.43</b> $\pm 3.79$ <b>24.65</b> $\pm 3.35$

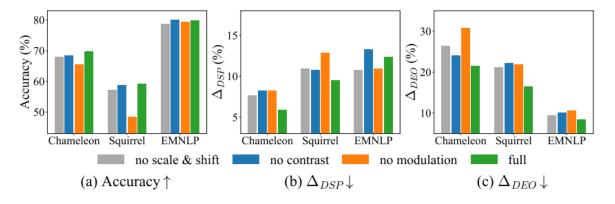


Figure 2: Ablation study on the effect of each module.

# **THANKS**